

ffice Patent and Trademan

Address: ASSISTANT COM: IISSIONER FOR PATENTS

FIRST NAMED APPLICANT

)	8	/	8	0	9	8	5	(

٠	See.	M
	4	飘
	•	74728 0

BOX PCI		
Washington, D.C. 20231		

U.S.	APPLICATIO	N NO.
.08	3/809	,856

BERCKMANS

D VER-102XX INTERNATIONAL APPLICATION NO.

5611

PCT/NL95/00335

WEINGARTEN, SCHURGIN ET AL TEN POST OFFICE SQUARE BOSTON MA 02109

PRIORITY DATE I.A. FILING DATE

10/03/95

10/04/94

06/02/97

ATTY. DOCKET NO.

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 I	N THE UNITED
STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)	
1. The following items have been submitted by the applicant or the IB to the United States Patent	and Trademark
Office as a Designated Office (37 CFR 1.494),	
an Elected Office (37 CFR 1.495):	
U.S. Basic National Fee.	
Copy of the international application in:	
a non-English language.	
□ Efiglish.	•
Translation of the international application into English.	
Oath or Declaration of inventors(s) for DO/EO/US.	•
Copy of Article 19 amendments.	
Translation of Article 19 amendments into English.	•
The International Preliminary Examination Report in English and its Annexes, if any.	
Translation of Annexes to the International Preliminary Examination Report into English.	•
Preliminary amendment(s) filed APR - 3 1997 and	
☐ Information Disclosure Statement(s) filed and	<i>:</i>
Assignment document.	
Power of Attorney and/or Change of Address.	
Substitute specification filed	
Verified Statement Claiming Small Entity Status.	
Priority Document.	
Copy of the International Search Report and copies of the references cited therein.	
Other:	
2. The following items MUST be furnished within the period set forth below in order to complete	e the requirements for
acceptance under 35 U.S.C. 371:	hmittad
a. Translation of the application into English. Note a processing fee will be required if st	ioimitica .
later than the appropriate 20 or 30 months from the priority date.	ce of Defective
The current translation is defective for the reasons indicated on the attached Noti Translation.	
b. Processing fee for providing the translation of the application and/or the Annexes later appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).	•
Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), iden	itifying the application
by the International application number and international filing date.	•
The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) f	or the reasons indicated
on the attached PCT/DO/EO/917.	
d. Surcharge for providing the oath or declaration later that the appropriate 20 or 30 mont priority date (37 CFR 1.492(e)).	
3. Additional claim fees of \$ as a _ large entity _ small entity, including any r	equired multiple
dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the	additional claims for
which fees are due. See attached PTO-875.	
ALL OF THE ITEMS SET FORTH IN $2(a)-2(d)$ AND 3 ABOVE MUST BE SUBMITTED MONTH FROM THE DATE OF THIS NOTICE OR BY \square 21 OR \square 31 MONTHS FROM DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESULT IN ABANDONMENT.	THE PRIORITY

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later that the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR

1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this	response.
Enclosed: PCT/DO/EO/917 Notice of Defective Translation	
T PTO-875	Denise G. Reaves
FORM PCT/DO/EO/905 (September 1996) Tylephone: (703) 308-6454	



united states department of commerce ffice Patent and Trademar*

Washington, D.C. 20231

Address: ASSISTANT CON SIONER FOR PATENTS

FIRST NAMED APPLICANT	ATTY. DOCKET NO.
	

08/809,856

BOSTON MA 02109

1.

BERCKMANS

INTERNATIONAL APPLICATION NO

5611

WEINGARTEN, SCHURGIN ET AL. TEN POST OFFICE SQUARE

PCT/NL95/00335

LA. FILING DATE

PRIORITY DATE

10/03/95

10/04/94

06/02/97

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

. ॼ ॔	is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. □	does not identify the specification to which it is directed.
ı. □	does not identify the inventor(s).
. ┌	does not identify the citizenship of each inventor.
:. □	does not state the person making the oath or declaration believes the named inventor or
_	inventors to be the original and first inventor or inventors of the subject matter which is
	claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

does not identify the city and state or city and foreign country of residence or each

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

	inventor.
2. 🔲	does not state that the person making the oath or declaration:
a. 🗀	has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
b. 🗀	acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. 🔲	does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4.	does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d))

Denise Goodall Reaves Telephone: (703) 308-6454

FORM PCT/DO/EO/917 (September 1996)



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Applicant(s): Daniel a Bulkmans Serial Number: 08-809, 854 Filing Date: 6-16-97
Title: Fow Seasor

NOTICE OF INFORMAL APPLICATION (Attachment to Office Action)

chec	ked	plication does not conform with the rules governing applications for the reason(s) below. The period within which to correct these requirements and avoid abandonment he accompanying Office action.
A.	A ne requi	w oath or declaration, identifying this application by the serial number and filing date is red. The oath or declaration does not comply with 37 CFR 1.63 in that it:
1.	. 🗆	was not executed in accordance with either 37 CFR 1.66 or 1.68.
2	. 🗆	does not identify the city and state or foreign country of residence of each inventor.
		does not identify the citizenship of each inventor.
4.		does not state whether the inventor is a sole or joint inventor.
5.		does not state that the person making the oath or declaration:
•	a.	has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
, . ,	b.	believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.
	c.	acknowledges the duty to disclose information which is material to the examination of the application in accordance with 37 CFR 1.56(a).
6.		does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
7.	. 🗆	does not state that the person making the oath or declaration acknowledges the duty to disclose material information as defined in 37 CFR 1.56(a) which occurred between the filing date of the prior application and filing date of the continuation-in-part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).
8.		does not include the date of execution.
9.		does not use permanent ink, or its equivalent in quality, as required under 37 CFR 1.52(a) for the: \square signature \square oath/declaration.
10.		contains non-initialed alterations (See 37 CFR 1.52(c) and 1.56).
11.	. 🗆	does not contain the clause regarding "willful false statements" as required by 37 CFR 1.68.
12.		Other:
B. A	ilaa	cant is required to provide:
	• •	A statement signed by applicant giving his or her complete name. A full name must include at least one given name without abbreviation as required by 37 CFR 1.41(a).
2	. 🗆	Proof of authority of the legal representative under 37 CFR 1.44.
	(XI)	An abstract in compliance with 37 CFR 1.72(b).
_	\mathbb{Z}	A statement signed by applicant giving his or her complete post office address (37 CFR
-	_	1.33(a)).
5.	🗆	A copy of the specification written, typed, or printed in permanent ink, or its equivalent in quality as required by 37 CFR 1.52(a).